

**THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. If you are in any doubt about the action to be taken you should consult your stockbroker, bank, manager, solicitor, accountant, relationship manager or other professional adviser immediately. This letter is available in languages other than English and copies can be obtained at [www.ishares.com](http://www.ishares.com) or requested by calling 08453577000 (UK number) (please refer to the website for international phone numbers)**

05/06/2020

Dear Shareholder

The Directors of iShares IV plc (the “**Company**”) wish to advise you of certain changes that will be made by the index provider, STOXX Limited (“**STOXX**”), to the benchmark indices of iShares Ageing Population UCITS ETF (“**AGED**”), iShares Automation & Robotics UCITS ETF (“**RBOT**”), iShares Digital Security UCITS ETF (“**LOCK**”), iShares Digitalisation UCITS ETF (“**DGTL**”), iShares Electric Vehicles and Driving Technology UCITS ETF (“**ECAR**”), iShares Healthcare Innovation UCITS ETF (“**HEAL**”) and iShares Smart City Infrastructure UCITS ETF (“**CITY**”), each a fund of the Company (each a “**Fund**”, together the “**Funds**”). It is anticipated that the changes will take effect on or about 22 June 2020 (the “**Effective Date**”).

You are being contacted because you are invested in one of the Funds. You are not required to take any action as a result of receiving this communication.

### **Background**

STOXX will be amending the methodologies (the “**Index Methodologies**”) used to construct the following benchmark indices of the Funds:

STOXX Global Ageing Population Index (the “**AGED Index**”);  
STOXX Global Automation & Robotics Index (the “**RBOT Index**”);  
STOXX Global Digital Security Index (the “**LOCK Index**”);  
STOXX Global Digitalisation Index; (the “**DGTL Index**”)  
STOXX Global Electric Vehicles and Driving Technology Index (the “**ECAR Index**”);  
STOXX Global Breakthrough Healthcare Index (the “**HEAL Index**”); and  
STOXX Global Smart City Infrastructure Index (the “**CITY Index**”).

(together, the “**Indices**”)

### **iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

## **Summary of changes**

The amendment to the Index Methodologies will take effect on or about the Effective Date. STOXX has determined that the following changes will be applied to the Index Methodologies:

### *1. Alternative weighting approach*

An equal-weight weighting scheme is currently applied to the Indices, which has the effect of increasing tracking error of the Funds due to the fact that smaller, and therefore typically less liquid, companies are assigned a higher weight in the Indices, relative to their market capitalisation.

To rectify this issue and increase liquidity within the Indices, the Indices will adopt an adjusted equal-weight weighting scheme, whereby, companies will be weighted according to their adjusted equal-weight. This will ensure that the weight of smaller companies within the Indices is therefore limited to five times what their weight would have been were it calculated in accordance with their respective free-float market capitalisation. Market capitalisation is the share price of a company multiplied by the number of shares available to international investors.

Further information in respect of this change can be found at the following links:

<https://www.stoxx.com/document/Resources/MarketConsultation/Market%20Consultation%20Thematic%20Indices.pdf>

[https://www.stoxx.com/document/Resources/MarketConsultation/Client\\_Information\\_Result\\_STOXX\\_Market\\_Consultation\\_Thematic\\_Indices\\_20200428.pdf](https://www.stoxx.com/document/Resources/MarketConsultation/Client_Information_Result_STOXX_Market_Consultation_Thematic_Indices_20200428.pdf)

### *2. Incorporation of business involvement screens/exclusions*

Indices that do not currently take environmental, social and governance ('ESG') considerations into account, will now seek to exclude companies based on ESG exclusionary and ratings-based criteria. Going forward, the AGED Index, HEAL Index, RBOT Index, DGTL Index, LOCK Index and ECAR Index (as well as the CITY Index which already has these screens/exclusions within its methodology) will seek to exclude issuers which are identified by STOXX (using data and analysis generated by one or more independent research providers) to be involved in the following business lines/activities (or related activities): Controversial Weapons, Weapons (Small Arms and Military Contracting), Unconventional Oil & Gas (Arctic Oil and Gas Exploration, Oil Sands and Shale Energy), Conventional Oil & Gas, Thermal Coal, Nuclear Power and Tobacco. Such Indices will also seek to exclude issuers based on ESG principles,

## **iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

which measure each issuer's involvement in major ESG controversies and how well they adhere to international norms and principles.

For the avoidance of doubt, no such change is required to CITY, as this Fund already takes such ESG considerations into account.

### **Next Steps**

The Company's prospectus will be updated to reflect, where applicable the new names of the Indices, to amend the investment policies of the Funds to reflect the incorporation of ESG requirements and to amend the "Benchmark Index" description of the Funds to bring it in line with the proposed changes to the Index Methodologies. In addition, in respect of CITY, the "Benchmark Index" description will be amended for consistency and clarification purposes.

It is anticipated that the Company's prospectus will be updated as set out in the Schedule on the Effective Date, or as soon as possible thereafter, subject to the approval of the Central Bank of Ireland and may be subject to further amendment. The final version of the Company's prospectus will be available on the [www.blackrock.com](http://www.blackrock.com) on or around the Effective date.

The anticipated tracking error has changed as a result of the changes of the Index Methodology, as set out below and in Sub-funds' prospectus, however the change will not affect the current SRRIs.

<b>Fund</b>	<b>Current Anticipated Tracking Error (bps)</b>	<b>New Anticipated Tracking Error (bps)</b>	<b>Current SRRIs</b>	<b>New SRRIs</b>
iShares Ageing Population UCITS ETF	45	30	5	5
iShares Digitalisation UCITS ETF	50	30	5	5
iShares Automation & Robotics UCITS ETF	40	40	6	6
iShares Healthcare Innovation UCITS ETF	60	30	6	6
iShares Digital Security UCITS ETF	45	40	5	5
iShares Electric Vehicles and Driving Technology UCITS ETF	85	40	6	6
Smart City Infrastructure	50	40	5	5

### **iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

BlackRock Asset Management Ireland Limited, as manager of the Company, will pay the costs of the shareholder notification, and any additional operational costs (excluding realignment and portfolio restructuring costs) and legal costs related to the proposed changes that would otherwise have been incurred by the Funds. The Total Expense Ratio will not change as a result of the proposed change to the Indices.

Please contact [info@iShares.com](mailto:info@iShares.com) if you have any queries concerning the changes outlined in this letter.

Yours faithfully



---

Director  
for and on behalf of iShares IV plc

**iShares IV public limited company**  
**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company  
Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.  
Registered in Ireland under registration number 472684.  
Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

## SCHEDULE

### iShares Ageing Population UCITS ETF

Current prospectus disclosure	New prospectus disclosure
<p><u><i>Investment Objective</i></u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the iSTOXX® FactSet Ageing Population Index.</p> <p><u><i>Investment Policy</i></u></p> <p>In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the iSTOXX® FactSet Ageing Population Index, this Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.</p>	<p><u><i>Investment Objective</i></u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Ageing Population Index.</p> <p><u><i>Investment Policy</i></u></p> <p>In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Ageing Population Index, this Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.</p>

#### iShares IV public limited company

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn

iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

The Base Currency of iShares Ageing Population UCITS ETF is US Dollar (US\$).

Benchmark Index

The iSTOXX® FactSet Ageing Population Index aims to reflect the performance of a subset of equity securities within the STOXX Global Total Market Index (TMI) (“Parent Index”) which derive significant revenues from the growing needs of the world’s ageing population (defined as people aged 60 years or above) in accordance with the STOXX Factset Revere Hierarchy methodology, with each security being equally weighted within the Benchmark Index.

Companies included in the Benchmark Index must generate at least 50% of their annual revenues from one or multiple predefined sectors relevant to the ageing population theme. The sectors are at a hierarchical depth of 6, which means that the index methodology looks down through six layers of sub-sectors in order to provide a focused exposure to the specific theme.

As at 30 June 2016, there are 54 different sectors which currently include categories such as: biopharmaceuticals, life and health insurance, biotechnology, hospital facilities and travel. If a company’s aggregate revenue received from the pre-defined sectors drops below 45% it will be removed from the Benchmark Index at the next rebalance. The

It is intended that the Fund will only invest in securities of issuers that comply with the index provider’s environmental, social and governance (“ESG”) requirements.

The Fund’s investments will, at the time of purchase, comply with the ESG requirements of the Fund’s Benchmark Index. The Fund may continue to hold securities which no longer comply with the ESG requirements of the Fund’s Benchmark Index until such time as the relevant securities cease to form part of the Benchmark Index and it is possible and practicable (in the Investment Manager’s view) to liquidate the position.

The Base Currency of iShares Ageing Population UCITS ETF is US Dollar (US\$).

Benchmark Index

The STOXX Global Ageing Population Index aims to reflect the performance of a subset of equity securities within the STOXX Global Total Market Index (the “**Parent Index**”). The Benchmark Index is comprised of issuers of eligible developed and emerging market countries globally from the Parent Index which the index provider has determined derive significant revenues from the growing needs of the world’s ageing population (defined as people aged 60 years or above) and excludes issuers from the Parent Index based on the index provider’s criteria set out below. The index provider uses data generated from

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson’s Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O’Dwyer; Jessica Irschick (British); Ros O’Shea; Deirdre Somers; Teresa O’Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

threshold for incumbent constituents is lower to ensure unnecessary turnover is not induced.

The Benchmark Index includes the small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted equally, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.

The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis. Companies which are no longer eligible for the Parent Index will be removed annually from the Benchmark Index at the time of the quarterly rebalance in June.

The Benchmark Index rebalances on an annual basis. Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <https://www.stoxx.com/indices>.

independent research providers to identify issuers most exposed to the ageing population thematic trends.

To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate at least 50% of their annual revenue (45% for existing constituents of the Benchmark Index) from sectors associated with the ageing population theme (the "**Revenue Filter**"); and (iv) be domiciled in an eligible developed or emerging market country, also determined by the index provider.

In addition to the above, the Benchmark Index also seeks to exclude companies based on ESG exclusionary criteria. The Benchmark Index seeks to exclude issuers from the Parent Index based on their involvement in the following business lines/activities (or related activities):

controversial weapons, weapons (such as small arms and military contracting), tobacco, nuclear power, thermal coal, conventional oil and gas, unconventional oil and gas. The index provider defines what constitutes "involvement" in each restricted activity. This may be based on percentage of revenue, a defined total revenue threshold, or another connection to a restricted activity regardless of the amount of revenue received. The Benchmark Index also excludes issuers based on ESG principles which measure each issuer's involvement in severe ESG

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>controversies and how well they adhere to international norms and principles.</p> <p>Further details are available on the index provider's website at <a href="https://www.stox.com/indices">https://www.stox.com/indices</a>.</p> <p>The Benchmark Index includes small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted according to adjusted equal weights, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.</p> <p>The Benchmark Index is adjusted equally weighted and rebalances on an annual basis in June. Companies which are no longer eligible for the Parent Index will be removed from the Benchmark Index at the time of the annual rebalance. In addition, on a quarterly basis, current constituents of the Benchmark Index are screened for their Controversy Rating, and issuers which are no longer eligible will be removed at the relevant quarter.</p> <p>Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the screening process</p>
--	--

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>above results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider's methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.</p> <p>The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis.</p> <p>Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p>
--	--

**iShares Automation & Robotics UCITS ETF**

<b>Current prospectus disclosure</b>	<b>New prospectus disclosure</b>
<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the iSTOXX® FactSet Automation &amp; Robotics Index.</p>	<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Automation &amp; Robotics Index.</p>

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company  
Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.  
Registered in Ireland under registration number 472684.  
Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

Investment Policy

In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the iSTOXX® FactSet Automation & Robotics Index, this Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.

The Base Currency of iShares Automation & Robotics UCITS ETF is US Dollar (US\$).

Benchmark Index

The iSTOXX® FactSet Automation & Robotics Index aims to reflect the performance of a subset of equity securities within the STOXX Global Total Market Index (TMI) ("Parent Index") which derive significant revenues from automation & robotics in accordance with the STOXX Factset Revere Hierarchy methodology, with each security being equally

Investment Policy

In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Automation & Robotics Index, this Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.

It is intended that the Fund will only invest in securities of issuers that comply with the index provider's environmental, social and governance ("ESG") requirements.

The Fund's investments will, at the time of purchase, comply with the ESG requirements of the Fund's Benchmark Index. The Fund may continue to hold securities which no longer comply with the ESG requirements of the Fund's Benchmark Index until such time as the relevant securities cease to form part of the Benchmark Index and it is possible and

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

weighted within the Benchmark Index. The automation & robotics theme provides exposure to companies globally that are developing technology in the fields of automation and robotics. The adoption of these types of technologies by businesses and consumers presents a potential for long-term growth for companies that have a substantial focus on them.

Companies included in the Benchmark Index must generate at least 50% of their annual revenues from one or multiple predefined sectors relevant to the automation & robotics theme. The sectors are at a hierarchical depth of 6, which means that the index methodology looks down through six layers of sub-sectors in order to provide a focused exposure to the specific theme.

As at 30 June 2016, there are 35 different sectors which currently include categories such as: artificial intelligence, nanotechnology, manufacturing robotics and wearable technology. If a company's aggregate revenue received from the pre-defined sectors drops below 45% it will be removed from the Benchmark Index at the next rebalance. The threshold for incumbent constituents is lower to ensure unnecessary turnover is not induced.

The Benchmark Index includes the small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted equally, effectively removing the influence of the size of each

practicable (in the Investment Manager's view) to liquidate the position.

The Base Currency of iShares Automation & Robotics UCITS ETF is US Dollar (US\$).

#### Benchmark Index

The STOXX Global Automation & Robotics Index aims to reflect the performance of a subset of equity securities of eligible developed and emerging markets companies globally within the STOXX Global Total Market Index (the "**Parent Index**"). The Benchmark Index is comprised of issuers from the Parent Index which the index provider has determined derive significant revenues from automation and robotics providing exposure to companies globally that are developing technology in the fields of automation and robotics and excludes issuers from the Parent Index based on the index provider's criteria set out below. The index provider uses data generated from independent research providers to identify issuers most exposed to the automation and robotics thematic trends.

To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate at least 50% of their annual revenue (45% for existing constituents of the Benchmark Index) from sectors associated with the automation and robotics theme (the "**Revenue Filter**"); and (iv)

### **iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.

The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis. Companies which are no longer eligible for the Parent Index will be removed annually from the Benchmark Index at the time of the quarterly rebalance in June.

The Benchmark Index rebalances on an annual basis. Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <https://www.stoxx.com/indices>.

be domiciled in an eligible developed or emerging market country, also determined by the index provider.

In addition to the above, the Benchmark Index also seeks to exclude companies based on ESG exclusionary criteria. The Benchmark Index seeks to exclude issuers from the Parent Index based on their involvement in the following business lines/activities (or related activities):

controversial weapons, weapons (such as small arms and military contracting), tobacco, nuclear power, thermal coal, conventional oil and gas, unconventional oil and gas. The index provider defines what constitutes "involvement" in each restricted activity. This may be based on percentage of revenue, defined total revenue threshold, or another connection to a restricted activity regardless of the amount of revenue received. The Benchmark Index also excludes issuers based on ESG principles which measure each issuer's involvement in severe ESG controversies and how well they adhere to international norms and principles. Further details are available on the index provider's website at <https://www.stoxx.com/indices>.

The Benchmark Index includes small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted according to adjusted equal weights, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.</p> <p>The Benchmark Index is adjusted equally weighted and rebalances on an annual basis in June. Companies which are no longer eligible for the Parent Index will be removed from the Benchmark Index at the time of the annual rebalance. In addition, on a quarterly basis, current constituents of the Benchmark Index are screened for their Controversy Rating, and issuers which are no longer eligible will be removed at the relevant quarter.</p> <p>Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the screening process above results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider's methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.</p> <p>The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis.</p>
--	---

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p>
--	--

### iShares Digital Security UCITS ETF

Current prospectus disclosure	New prospectus disclosure
<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Digital Security Index.</p> <p><u>Investment Policy</u></p> <p>In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Digital Security Index, the Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the</p>	<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Digital Security Index.</p> <p><u>Investment Policy</u></p> <p>In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Digital Security Index, the Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the</p>

#### iShares IV public limited company

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.

The Base Currency of iShares Digital Security UCITS ETF is US Dollar (US\$).

Benchmark Index

The STOXX Global Digital Security Index measures the performance of a subset of equity securities within the STOXX Global Total Market Index (the "Parent Index"). The Benchmark Index comprises of companies which are engaged in the provision of cyber security focused solutions for the transmission, safeguarding and/or handling of sensitive data and/or access to data centers. To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million; (ii) have a free float market capitalisation greater than €200 million; (iii) generate at least 50% of their revenue (45% for existing constituents of the Benchmark Index) from one or more activities relating to digital security, as determined by the index provider from time to time (the "Revenue Filter"); and (iv) be domiciled in an eligible country, also determined by the index provider.

Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.

It is intended that the Fund will only invest in securities of issuers that comply with the index provider's environmental, social and governance ("ESG") requirements.

The Fund's investments will, at the time of purchase, comply with the ESG requirements of the Fund's Benchmark Index. The Fund may continue to hold securities which no longer comply with the ESG requirements of the Fund's Benchmark Index until such time as the relevant securities cease to form part of the Benchmark Index and it is possible and practicable (in the Investment Manager's view) to liquidate the position.

The Base Currency of iShares Digital Security UCITS ETF is US Dollar (US\$).

Benchmark Index

The STOXX Global Digital Security Index aims to reflect the performance of a subset of equity securities of eligible developed and emerging

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

The Benchmark Index rebalances on an annual basis. Any companies removed from the Parent Index will also be removed from the Benchmark Index at each rebalance. Any removed companies are not replaced. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing exercise. If the application of the Revenue Filter results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered in steps of 5%, to a minimum of 30%, until the number of constituents in the Benchmark Index is equal to or greater than 80 (i.e. all stocks which pass the lowered threshold will be added to the Benchmark Index). No further capping applies between rebalancing reviews. The constituents of the Benchmark Index are weighted equally.

Further details regarding the Benchmark Index (including its constituents) are available on the index provider's website at <https://www.stoxx.com/indices>.

market countries globally within the STOXX Global Total Market Index (the "**Parent Index**"). The Benchmark Index is comprised of issuers from the Parent Index which the index provider has determined derive significant revenues from the provision of cyber security focused solutions for the transmission, safeguarding and/or handling of sensitive data and/or access to data centers and excludes issuers from the Parent Index based on the index provider's criteria set out below. The index provider uses data generated from independent research providers to identify issuers most exposed to the digital security thematic trends.

To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate at least 50% of their annual revenue (45% for existing constituents of the Benchmark Index) from sectors associated with the digital security theme (the "**Revenue Filter**"); and (iv) be domiciled in an eligible developed or emerging market country, also determined by the index provider.

In addition to the above, the Benchmark Index also seeks to exclude companies based on ESG exclusionary criteria. The Benchmark Index seeks to exclude issuers from the Parent Index based on their involvement in the following business lines/activities (or related activities): controversial weapons, weapons (small arms and military contracting), tobacco, nuclear power, thermal coal,

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>conventional oil and gas, unconventional oil and gas. The index provider defines what constitutes “involvement” in each restricted activity. This may be based on percentage of revenue, defined total revenue threshold, or another connection to a restricted activity regardless of the amount of revenue received.</p> <p>The Benchmark Index also excludes issuers based on ESG principles which measure each issuer’s involvement in severe ESG controversies and how well they adhere to international norms and principles.</p> <p>Further details are available on the index provider’s website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p> <p>The Benchmark Index includes small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted according to adjusted equal weights, effectively removing the influence of the size of each constituent’s market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.</p> <p>The Benchmark Index is adjusted equally weighted and rebalances on an annual basis in June. Companies which are no longer eligible for the Parent Index will be removed from the Benchmark Index at the time of the annual rebalance. In addition, on a quarterly basis, current constituents of the Benchmark</p>
--	---

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson’s Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O’Dwyer; Jessica Irschick (British); Ros O’Shea; Deirdre Somers; Teresa O’Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>Index are screened for their Controversy Rating, and issuers which are no longer eligible will be removed at the relevant quarter.</p> <p>Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the screening process above results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider's methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.</p> <p>The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis.</p> <p>Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p>
--	---

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

## iShares Digitalisation UCITS ETF

Current prospectus disclosure	New prospectus disclosure
<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the iSTOXX® FactSet Digitalisation Index.</p> <p><u>Investment Policy</u></p> <p>In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the iSTOXX® FactSet Digitalisation Index, this Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.</p>	<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Digitalisation Index.</p> <p><u>Investment Policy</u></p> <p>In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Digitalisation Index, this Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.</p>

### iShares IV public limited company

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

<p>The Base Currency of iShares Digitalisation UCITS ETF is US Dollar (US\$).</p> <p><u>Benchmark Index</u></p> <p>The iSTOXX® FactSet Digitalisation Index aims to reflect the performance of a subset of equity securities within the STOXX Global Total Market Index (TMI) (“Parent Index”) which derive significant revenues from digitalisation in accordance with the STOXX Factset Revere Hierarchy methodology, with each security being equally weighted within the Benchmark Index. The digitalisation theme provides exposure to companies globally that offer digitally focused services which range from Fintech and contactless payments to online shopping and cyber security. The move to the ‘digital world’ and the increased adoption of digital technologies by users presents potential for long-term growth for companies that have a substantial focus on the digitalisation theme.</p> <p>Companies included in the Benchmark Index must generate at least 50% of their annual revenues from one or multiple predefined sectors relevant to the digitalisation theme. The sectors are at a hierarchical depth of 6, which means that the index methodology looks down through six layers of sub-sectors in order to provide a focused exposure to the specific theme.</p> <p>As at 30 June 2016, there are 47 different</p>	<p>all constituents of the Benchmark Index.</p> <p>It is intended that the Fund will only invest in securities of issuers that comply with the index provider’s environmental, social and governance (“<b>ESG</b>”) requirements.</p> <p>The Fund’s investments will, at the time of purchase, comply with the ESG requirements of the Fund’s Benchmark Index. The Fund may continue to hold securities which no longer comply with the ESG requirements of the Fund’s Benchmark Index until such time as the relevant securities cease to form part of the Benchmark Index and it is possible and practicable (in the Investment Manager’s view) to liquidate the position.</p> <p>The Base Currency of iShares Digitalisation UCITS ETF is US Dollar (US\$).</p> <p><u>Benchmark Index</u></p> <p>The STOXX Global Digitalisation Index aims to reflect the performance of a subset of equity securities of eligible developed and emerging market countries within the STOXX Global Total Market Index (the “<b>Parent Index</b>”). The Benchmark Index is comprised of issuers from the Parent Index which the index provider has determined derive significant revenues from digitalisation providing exposure to companies globally that offer digitally focused services which range from Fintech and contactless payments to online shopping and</p>
---	--

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson’s Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O’Dwyer; Jessica Irschick (British); Ros O’Shea; Deirdre Somers; Teresa O’Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

sectors which currently include categories such as: cybersecurity, retail and mobile convergence (i.e. distribution portals), cloud computing, and financial technology (e.g. robo advisors). If a company's aggregate revenue received from the pre-defined sectors drops below 45% it will be removed from the Benchmark Index at the next rebalance. The threshold for incumbent constituents is lower to ensure unnecessary turnover is not induced.

The Benchmark Index includes the small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted equally, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.

The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis. Companies which are no longer eligible for the Parent Index will be removed annually from the Benchmark Index at the time of the quarterly rebalance in June.

The Benchmark Index rebalances on an annual basis. Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the

cyber security and excludes issuers from the Parent Index based on the index provider's criteria set out below. The move to the 'digital world' and the increased adoption of digital technologies by users presents potential for long-term growth for companies that have a substantial focus on the digitalisation theme. The index provider uses data generated from independent research providers to identify issuers most exposed to the digitalisation thematic trends.

To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate at least 50% of their annual revenue (45% for existing constituents of the Benchmark Index) from sectors associated with the digitalisation theme (the "**Revenue Filter**"); and (iv) be domiciled in an eligible developed or emerging market country, also determined by the index provider.

In addition to the above, the Benchmark Index also seeks to exclude companies based on ESG exclusionary criteria. The Benchmark Index seeks to exclude issuers from the Parent Index based on their involvement in following business lines/activities (or related activities): controversial weapons, weapons (such as small arms and military contracting), tobacco, nuclear power, thermal coal, conventional oil and gas, unconventional oil and gas. The index provider defines what constitutes "involvement" in each restricted activity. This may be based on percentage of revenue,

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

<p>index provider's website at  <a href="https://www.stox.com/indices">https://www.stox.com/indices</a>.</p>	<p>defined total revenue threshold, or another connection to a restricted activity regardless of the amount of revenue received. The Benchmark Index also excludes issuers based on ESG principles which measure each issuer's involvement in severe ESG controversies and how well they adhere to international norms and principles.</p> <p>Further details are available on the index provider's website at <a href="https://www.stox.com/indices">https://www.stox.com/indices</a>.</p> <p>The Benchmark Index includes small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted according to adjusted equal weights, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.</p> <p>The Benchmark Index is adjusted equally weighted and rebalances on an annual basis in June. Companies which are no longer eligible for the Parent Index will be removed from the Benchmark Index at the time of the annual rebalance. In addition, on a quarterly basis, current constituents of the Benchmark Index are screened for their Controversy Rating, and issuers which are no longer</p>
--	--

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>eligible will be removed at the relevant quarter.</p> <p>Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the screening process above results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider's methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.</p> <p>The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis.</p> <p>Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p>
--	--

## **iShares Electric Vehicles and Driving Technology UCITS ETF**

### **iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

<b>Current prospectus disclosure</b>	<b>New prospectus disclosure</b>
<p data-bbox="252 443 520 472"><u>Investment Objective</u></p> <p data-bbox="252 506 836 694">The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Electric Vehicles and Driving Technology Index.</p> <p data-bbox="252 792 480 822"><u>Investment Policy</u></p> <p data-bbox="252 855 836 1554">In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Electric Vehicles and Driving Technology Index, the Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.</p> <p data-bbox="252 1653 836 1682">The Base Currency of iShares Electric Vehicles</p>	<p data-bbox="858 443 1126 472"><u>Investment Objective</u></p> <p data-bbox="858 506 1442 694">The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Electric Vehicles and Driving Technology Index.</p> <p data-bbox="858 792 1086 822"><u>Investment Policy</u></p> <p data-bbox="858 855 1442 1554">In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Electric Vehicles and Driving Technology Index, the Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.</p>

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

and Driving Technology UCITS ETF is US Dollar (US\$).

Benchmark Index

The STOXX Global Electric Vehicles and Driving Technology Index measures the performance of a subset of equity securities within the STOXX Global Total Market Index (TMI) ("Parent Index"). The Benchmark Index is comprised of companies the index provider has determined are exposed to electric vehicles and assisted-driving technologies, which includes companies involved in the manufacturing of electric and autonomous vehicles, battery suppliers for electric vehicles, and other suppliers in the electric and autonomous vehicles manufacturers' supply chain. To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate at least 50% of their revenue (45% for existing constituents of the Benchmark Index) from one or more of the following sectors: electronic vehicle manufacturers, electronic vehicle battery suppliers and/or an electronic vehicle manufacturers' supply chain, as determined by the index provider from time to time (the "Revenue Filter"); and (iv) be domiciled in an eligible country, also determined by the index provider.

The Benchmark Index includes the small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents of the Benchmark Index are

It is intended that the Fund will only invest in securities of issuers that comply with the index provider's environmental, social and governance ("ESG") requirements.

The Fund's investments will, at the time of purchase, comply with the ESG requirements of the Fund's Benchmark Index. The Fund may continue to hold securities which no longer comply with the ESG requirements of the Fund's Benchmark Index until such time as the relevant securities cease to form part of the Benchmark Index and it is possible and practicable (in the Investment Manager's view) to liquidate the position.

The Base Currency of iShares Electric Vehicles and Driving Technology UCITS ETF is US Dollar (US\$).

Benchmark Index

The STOXX Global Electric Vehicles and Driving Technology Index aims to reflect the performance of a subset of equity securities within the STOXX Global Total Market Index (the "**Parent Index**"). The Benchmark Index is comprised of issuers of eligible emerging and developed markets which the index provider has determined derive revenues from electric vehicles and assisted-driving technologies, which includes companies involved in the manufacturing of electric and autonomous vehicles, battery suppliers for electric vehicles, and other suppliers in the electric and autonomous vehicles manufacturers' supply chain and excludes issuers from the Parent

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

weighted equally, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid-capitalisation securities compared to its Parent Index.

The Benchmark Index rebalances on an annual basis. Companies which are no longer eligible for the Parent Index will be removed annually from the Benchmark Index at the time of the rebalance in June. Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the Revenue Filter results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider's methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.

The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis.

Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at

Index based on the index provider's criteria set out below. The index provider uses data generated from independent research providers to identify issuers most exposed to the Electric Vehicles and Driving Technology thematic trends.

To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate (I) at least 50% of their annual revenue (45% for existing constituents of the Benchmark Index) from one or more of the following sectors: electric vehicle manufacturers, electric vehicle battery suppliers and/or an electric vehicle manufacturers' supply chain; or (II) more than 0% of their annual revenue in respect of Heavy Duty and High-End Batteries manufacturing; as determined by the index provider from time to time (the "**Revenue Filter**"); and (iv) be domiciled in an eligible developed or emerging market country, also determined by the index provider.

In addition to the above, the Benchmark Index also seeks to exclude companies based on ESG exclusionary criteria. The Benchmark Index seeks to exclude issuers from the Parent Index based on their involvement in the following business lines/activities (or related activities): controversial weapons, weapons (such as small arms and military contracting), tobacco, nuclear power, thermal coal, conventional oil and gas, unconventional oil and gas. The index provider defines what constitutes "involvement" in each restricted

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

<p><a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p>	<p>activity. This may be based on percentage of revenue, defined total revenue threshold, or another connection to a restricted activity regardless of the amount of revenue received. The Benchmark Index also excludes issuers based on ESG principles which measure each issuer's involvement in severe ESG controversies and how well they adhere to international norms and principles.</p> <p>Further details are available on the index provider's website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p> <p>The Benchmark Index includes small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted according to adjusted equal weights, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.</p> <p>The Benchmark Index is adjusted equally weighted and rebalances on an annual basis in June. Companies which are no longer eligible for the Parent Index will be removed from the Benchmark Index at the time of the annual rebalance. In addition, on a quarterly basis, current constituents of the Benchmark Index are screened for their Controversy Rating, and issuers which are no longer eligible will be removed at the relevant quarter.</p>
---	--

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the screening process above results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider's methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.</p> <p>The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis.</p> <p>Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p>
--	---

**iShares Healthcare Innovation UCITS ETF**

<b>Current prospectus disclosure</b>	<b>New prospectus disclosure</b>
--------------------------------------	----------------------------------

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the iSTOXX® FactSet Breakthrough Healthcare Index.</p> <p><u>Investment Policy</u></p> <p>In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the iSTOXX® FactSet Breakthrough Healthcare Index, this Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.</p> <p>The Base Currency of iShares Healthcare Innovation UCITS ETF is US Dollar (US\$).</p>	<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Breakthrough Healthcare Index.</p> <p><u>Investment Policy</u></p> <p>In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Breakthrough Healthcare Index, this Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.</p> <p>It is intended that the Fund will only invest in securities of issuers that comply with the index provider's environmental, social and</p>

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

<p><u>Benchmark Index</u></p> <p>The iSTOXX® FactSet Breakthrough Healthcare Index aims to reflect the performance of a subset of equity securities within the STOXX Global Total Market Index (TMI) (“Parent Index”) which derive significant revenues from healthcare innovation in accordance with the STOXX Factset Revere Hierarchy methodology, with each security being equally weighted within the Benchmark Index. The healthcare innovation theme provides exposure to those companies globally focused on pushing the boundaries in medical treatment and technology. These companies aim to advance aspects of the healthcare industry, including drug treatment, patient care and diagnostic tools, and improve their effectiveness and efficiency.</p> <p>Companies included in the Benchmark Index must generate at least 50% of their annual revenues from one or multiple predefined sectors relevant to the healthcare innovation theme. The sectors are at a hierarchical depth of 6, which means that the index methodology looks down through six layers of sub-sectors in order to provide a focused exposure to the specific theme.</p> <p>As at 30 June 2016, there are 32 different sectors which currently include categories such as: personalised medicines (i.e. human genome), generic drugs (e.g. biosimilars), immunotherapy (e.g. stem cell treatment) and healthcare IT. If a company’s aggregate revenue received from the pre-defined sectors drops below 45% it will be removed from the Benchmark Index at the next rebalance. The</p>	<p>governance (“<b>ESG</b>”) requirements.</p> <p>The Fund’s investments will, at the time of purchase, comply with the ESG requirements of the Fund’s Benchmark Index. The Fund may continue to hold securities which no longer comply with the ESG requirements of the Fund’s Benchmark Index until such time as the relevant securities cease to form part of the Benchmark Index and it is possible and practicable (in the Investment Manager’s view) to liquidate the position.</p> <p>The Base Currency of iShares Healthcare Innovation UCITS ETF is US Dollar (US\$).</p> <p><u>Benchmark Index</u></p> <p>The STOXX Global Breakthrough Healthcare Index aims to reflect the performance of a subset of equity securities within the STOXX Global Total Market Index (the “<b>Parent Index</b>”). The Benchmark Index is comprised of issuers of eligible developed and emerging markets globally which the index provider has determined derive significant revenues from healthcare innovation providing exposure to those companies globally focused on pushing the boundaries in medical treatment and technology. These companies aim to advance aspects of the healthcare industry, including drug treatment, patient care and diagnostic tools, and improve their effectiveness and efficiency. The index provider uses data generated from independent research providers to identify issuers most exposed to</p>
--	---

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson’s Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O’Dwyer; Jessica Irschick (British); Ros O’Shea; Deirdre Somers; Teresa O’Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

threshold for incumbent constituents is lower to ensure unnecessary turnover is not induced.

The Benchmark Index includes the small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted equally, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.

The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis. Companies which are no longer eligible for the Parent Index will be removed annually from the Benchmark Index at the time of the quarterly rebalance in June.

The Benchmark Index rebalances on an annual basis. Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <https://www.stoxx.com/indices>.

the healthcare innovation thematic trends.

To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate at least 50% of their annual revenue (45% for existing constituents of the Benchmark Index) from sectors associated with the healthcare innovation theme (the "**Revenue Filter**"); and (iv) be domiciled in an eligible developed or emerging market country, also determined by the index provider.

In addition to the above, the Benchmark Index also seeks to exclude companies based on ESG exclusionary criteria.

The Benchmark Index seeks to exclude issuers from the Parent Index based on their involvement in the following business lines/activities (or related activities): controversial weapons, weapons (such as small arms and military contracting), tobacco, nuclear power, thermal coal, conventional oil and gas, unconventional oil and gas. The index provider defines what constitutes "involvement" in each restricted activity. This may be based on percentage of revenue, defined total revenue threshold, or another connection to a restricted activity regardless of the amount of revenue received. The Benchmark Index also excludes issuers based on ESG principles which measure each issuer's involvement in severe ESG

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>controversies and how well they adhere to international norms and principles. Further details are available on the index provider's website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p> <p>The Benchmark Index includes small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted according to adjusted equal weights, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.</p> <p>The Benchmark Index is adjusted equally weighted and rebalances on an annual basis in June. Companies which are no longer eligible for the Parent Index will be removed from the Benchmark Index at the time of the annual rebalance. In addition, on a quarterly basis, current constituents of the Benchmark Index are screened for their Controversy Rating, and issuers which are no longer eligible will be removed at the relevant quarter.</p> <p>Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the screening process above results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider's</p>
--	---

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

	<p>methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.</p> <p>The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis.</p> <p>Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p>
--	--

### **iShares Smart City Infrastructure UCITS ETF**

<b>Current prospectus disclosure</b>	<b>New prospectus disclosure</b>
<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Smart City Infrastructure Index.</p> <p><u>Investment Policy</u></p>	<p><u>Investment Objective</u></p> <p>The investment objective of the Fund is to seek to provide investors with a total return, taking into account both capital and income returns, which reflects the return of the STOXX Global Smart City Infrastructure Index.</p> <p><u>Investment Policy</u></p>

### **iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Smart City Infrastructure Index, the Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.

It is intended that the Fund will only invest in securities of issuers that comply with the index provider's environmental, social and governance ("ESG") requirements.

The Fund's investments will, at the time of purchase, comply with the ESG requirements of the Fund's Benchmark Index. The Fund may continue to hold securities which no longer comply with the ESG requirements of the Fund's Benchmark Index until such time as the relevant securities cease to form part of the Benchmark Index and it is possible and practicable (in the Investment Manager's view) to liquidate the position.

In order to achieve this investment objective, the investment policy of the Fund is to invest in a portfolio of equity securities that as far as possible and practicable consists of the component securities of the STOXX Global Smart City Infrastructure Index, the Fund's Benchmark Index. The Fund intends to use optimisation techniques in order to achieve a similar return to the Benchmark Index and it is therefore not expected that the Fund will hold each and every underlying constituent of the Benchmark Index at all times or hold them in the same proportion as their weightings in the Benchmark Index. The Fund may hold some securities which are not underlying constituents of the Benchmark Index where such securities provide similar performance (with matching risk profile) to certain securities that make up the Benchmark Index. However, from time to time the Fund may hold all constituents of the Benchmark Index.

It is intended that the Fund will only invest in securities of issuers that comply with the index provider's environmental, social and governance ("ESG") requirements.

The Fund's investments will, at the time of purchase, comply with the ESG requirements of the Fund's Benchmark Index. The Fund may continue to hold securities which no longer comply with the ESG requirements of the Fund's Benchmark Index until such time as the relevant securities cease to form part of the Benchmark Index and it is possible and practicable (in the Investment Manager's view) to liquidate the position.

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

The Base Currency of iShares Smart City Infrastructure UCITS ETF is US Dollar (US\$).

Benchmark Index

The STOXX Global Smart City Infrastructure Index aims to reflect the performance of a subset of equity securities of eligible developed and emerging market countries globally within the STOXX Global Total Market Index (the “**Parent Index**”). The Benchmark Index is comprised of issuers from the Parent Index which the index provider has determined deploy the physical structures and facilities (such as buildings, equipment and vehicles) required as urban development becomes intelligent and efficiency-focused, and excludes issuers from the Parent Index based on the index provider’s criteria set out below. The Benchmark Index targets two global thematic trends: (i) smart cities; and (ii) infrastructure. The index provider uses data generated from independent research providers to identify issuers most exposed to the smart city and infrastructure global thematic trends from industry sub-sectors such as cellular site equipment, metal recycling providers, multi-type passenger transportation and water treatment agents manufacturing.

To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate at least 50% of

The Base Currency of iShares Smart City Infrastructure UCITS ETF is US Dollar (US\$).

Benchmark Index

The STOXX Global Smart City Infrastructure Index aims to reflect the performance of a subset of equity securities of eligible developed and emerging market countries globally within the STOXX Global Total Market Index (the “Parent Index”). The Benchmark Index is comprised of issuers from the Parent Index which the index provider has determined deploy the physical structures and facilities (such as buildings, equipment and vehicles) required as urban development becomes intelligent and efficiency-focused, and excludes issuers from the Parent Index based on the index provider’s criteria set out below. The Benchmark Index targets two global thematic trends: (i) smart cities; and (ii) infrastructure. The index provider uses data generated from independent research providers to identify issuers most exposed to the smart city and infrastructure global thematic trends from industry sub-sectors such as cellular site equipment, metal recycling providers, multi-type passenger transportation and water treatment agents manufacturing.

To be eligible for inclusion in the Benchmark Index, companies must (i) have a 3-month median daily traded volume (MDTV) greater than €1 million (EUR); (ii) have a free float market capitalisation greater than €200 million (EUR); (iii) generate at least 50% of

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson’s Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O’Dwyer; Jessica Irschick (British); Ros O’Shea; Deirdre Somers; Teresa O’Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

their annual revenue (45% for existing constituents of the Benchmark Index) from sectors associated with the smart city infrastructure theme (the "**Revenue Filter**"); and (iv) be domiciled in an eligible developed or emerging market country, also determined by the index provider.

their annual revenue (45% for existing constituents of the Benchmark Index) from sectors associated with the smart city infrastructure theme (the "Revenue Filter"); and (iv) be domiciled in an eligible developed or emerging market country, also determined by the index provider.

In addition to the above, the Benchmark Index also seeks to exclude companies based on ESG exclusionary criteria. The Benchmark Index excludes issuers from the Parent Index which are identified by the index provider (using data and analysis generated by one or more independent research providers) as either:

In addition to the above, the Benchmark Index also seeks to exclude companies based on ESG exclusionary criteria. The Benchmark Index seeks to exclude issuers from the Parent Index based on their involvement in the following business lines/activities (or related activities): controversial weapons , weapons (such as small arms and military contracting), tobacco, nuclear power, thermal coal, conventional oil and gas, unconventional oil and gas. The index provider defines what constitutes "involvement" in each restricted activity. This may be based on percentage of revenue, defined total revenue threshold, or another connection to a restricted activity regardless of the amount of revenue received. The Benchmark Index also excludes issuers based on ESG principles which measure each issuer's involvement in severe ESG controversies, or exposure to and management of ESG risks, or a combination of the issuers ESG risk and controversy ratings. The Benchmark Index also excludes issuers based on how well they adhere to international norms and principles.

- (a) being involved with controversial weapons such as anti-personnel mines, biological and chemical weapons, cluster weapons, depleted uranium, nuclear weapons and white phosphorus weapons;
- (b) deriving revenues (above a threshold specified by the index provider) from the following: (i) the manufacturing and selling of assault weapons or small arms (non-assault weapons) for civilian use, the retail and distribution of assault weapons or small arms (non-assault weapons), the manufacturing and selling of key components of small arms; (ii) the manufacturing of tobacco products, the supply of tobacco-related products/services or the distribution and retail sale of tobacco products; (iii) thermal coal extraction (including thermal coal mining and exploration); or (iv) unconventional energy sources such as oil

Further details are available on the index provider's website at <https://www.stoxx.com/indices>.

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

<p>and gas exploration in the Arctic regions, oil sand extraction or shale energy exploration or production;</p> <p>(c) having a certain level of power generation capacity (coal-fired electricity, heat, steam, or thermal coal electricity production (including issuers that own/operate coal-fired power plants));</p> <p>(d) being non-compliant with the United Nations Global Compact principles (which are widely accepted corporate sustainability principles that meet fundamental responsibilities in areas such as anti-corruption, human rights, labour and environmental); or</p> <p>(e) having: (i) a ‘Severe’ ESG Risk Rating based on the issuer’s exposure to, and management of, material ESG issues from a financial perspective; (ii) a ‘Severe’ Controversy Rating based on the issuer’s involvement in ESG related events; or (iii) a ‘High’ ESG Risk Rating combined with a ‘Moderate’, ‘Significant’ or ‘High’ Controversy Rating.</p> <p>The ESG ratings take into account numerous metrics that capture key ESG related issues. Further details are available on the index provider’s website at <a href="https://www.stoxx.com/indices">https://www.stoxx.com/indices</a>.</p> <p>The Benchmark Index includes small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index</p>	<p>The Benchmark Index includes small, mid and large capitalisation constituents of the Parent Index but, at each rebalance, all index constituents are weighted according to adjusted equal weights, effectively removing the influence of the size of each constituent’s market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.</p> <p>The Benchmark Index is adjusted equally weighted and rebalances on an annual basis in June. Companies which are no longer eligible for the Parent Index will be removed from the Benchmark Index at the time of the annual rebalance. In addition, on a quarterly basis, current constituents of the Benchmark Index are screened for their Controversy Rating, and issuers which are no longer eligible will be removed at the relevant quarter.</p> <p>Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the screening process above results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider’s methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.</p> <p>The Parent Index is designed to provide</p>
--	--

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson’s Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O’Dwyer; Jessica Irschick (British); Ros O’Shea; Deirdre Somers; Teresa O’Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

constituents are weighted equally, effectively removing the influence of the size of each constituent's market capitalisation. This means that, at each index rebalance, the Benchmark Index will have a larger weighting in smaller and mid capitalisation securities compared to its Parent Index.

The Benchmark Index is equally weighted and rebalances on an annual basis. Companies which are no longer eligible for the Parent Index will be removed from the Benchmark Index at the time of the quarterly rebalance of the Parent Index. In addition, on a quarterly basis, current constituents of the Benchmark Index are screened for their Controversy Rating, and issuers which are no longer eligible will be removed at the relevant quarter.

Any removed companies are not replaced in the Benchmark Index. The Benchmark Index aims to have a minimum number of 80 constituents at the time of each rebalancing. If the application of the Revenue Filter results in there being fewer than 80 constituents in the Benchmark Index, then the Revenue Filter is progressively lowered, in accordance with the index provider's methodology, until the number of constituents in the Benchmark Index is equal to or greater than 80.

The Parent Index is designed to provide representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis. Companies which are no

representation of the equity markets in developed and emerging market countries globally. The Parent Index is free float market capitalisation weighted and rebalances on a quarterly basis.

Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <https://www.stoxx.com/indices>.

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn  
iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.

<p>longer eligible for the Parent Index will be removed annually from the Benchmark Index at the time of the quarterly rebalance in June.</p>	
---	--

<p>Further details regarding the Benchmark Index and the Parent Index (including constituents) are available on the index provider's website at <a href="https://www.stox.com/indices">https://www.stox.com/indices</a>.</p>	
--	--

**iShares IV public limited company**

**1st Floor, 2 Ballsbridge Park, Ballsbridge, Dublin 4, D04 YW83, Ireland | [www.ishares.com](http://www.ishares.com)**

iShares IV public limited company

Registered Office: 200 Capital Dock, 79 Sir John Rogerson's Quay, Dublin 2, D02 RK57, Ireland.

Registered in Ireland under registration number 472684.

Directors: Paul McNaughton (Chairman); Paul McGowan; Barry O'Dwyer; Jessica Irschick (British); Ros O'Shea; Deirdre Somers; Teresa O'Flynn

iShares IV plc is an umbrella type open ended investment company with variable capital and having segregated liability between its funds.